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Necessary and sufficient condition for a generic 3R serial manipulator to be cuspidal

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Abstract

Cuspidal robots can travel from one inverse kinematic solution to another without meeting a singularity. The name cuspidal was coined based on the existence of a cusp point in the workspace of 3R serial robots. The existence of a cusp point was proved to be a necessary and sufficient condition for *orthogonal* robots to be cuspidal, but it was not possible to extend this condition to non-orthogonal robots. The goal of this paper is to prove that this condition stands for *any* generic 3R robot. This result would give the designer more flexibility. In the presented work, the geometrical interpretation of the inverse kinematics of 3R robots is revisited and important observations on the nonsingular change of posture are noted. The paper presents a theorem regarding the existence of reduced aspects in any generic 3R serial robot. Based on these observations and on this theorem, we prove that the existence of a cusp point is a necessary and sufficient condition for any 3R generic robot to be cuspidal.

1. Introduction

Cuspidal robots are those robots that can travel from one inverse kinematic solution (IKS) to another without encountering a singularity. This property of cuspidal robots is referred to as cuspidality. The name ‘*cuspidal*’ originated from the existence of a cusp in the singularity locus in the workspace of the robot [1]. Cuspidality exists in both serial and parallel robots [2] but this paper focuses on serial robots only. Cuspidality was first identified in 1988 by Parenti-Castelli [3] in some 6R robots and by Burdick in 1989 [4] in 3R robots. Most industrial robots are noncuspidal and the posture in which a noncuspidal robot is operating can be easily identified with the signs of the factors of the determinant of the Jacobian matrix [5]. Instead, posture identification is very difficult in cuspidal robots as

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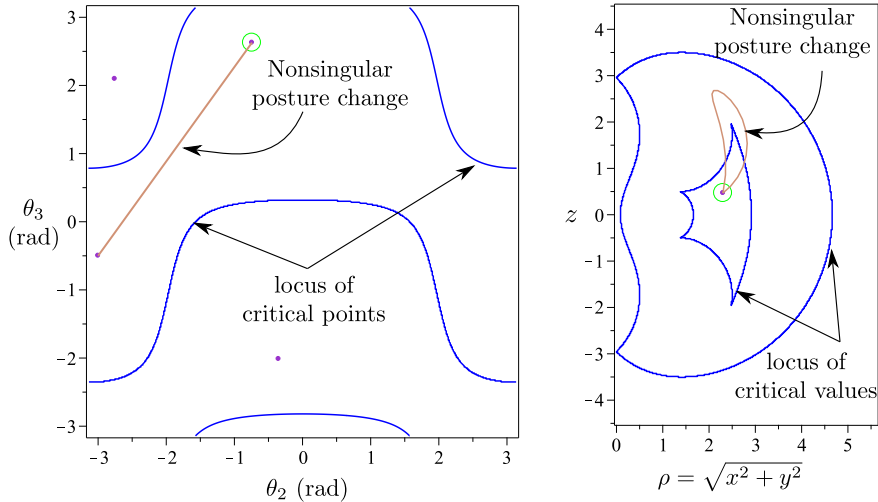


Figure 1: An example of travelling from one IKS to another in joint space and workspace.

Robot parameters: $d = [0, 1, 0]$, $a = [1, 2, 3/2]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$.

path in the joint space (θ_2, θ_3) : from $(-0.742, 2.628)$ to $(-3, -0.5)$.

the determinant does not usually factor [6]. This makes trajectory planning more challenging [7]. Cuspidal robots were first formalized and later extensively studied by Wenger et al. [6, 8, 9, 7, 10, 11, 12]. Different approaches were implemented in the past to identify and classify 3R *orthogonal* robots (i.e. robots with three mutually orthogonal joint axes), based on cuspidality.

Identifying the number of aspects can allow the designer to identify whether a given robot is cuspidal or not. Paganelli [13] and Wenger et al. [14] proposed a homotopy based topological analysis of singularity loci to identify the maximum number of aspects for a regional 3R serial chain. Though useful in many cases, this approach cannot be implemented to classify robots based on cuspidality since the number of cusps is not constant in a given homotopy class [15]. Baili proposed a deeper analysis and exhaustive classification of 3R positional *orthogonal* serial robots based on cuspidality. This class of robots can be analyzed in detail by using different algebraic and topological tools. This is because the orthogonality constraint helps simplify some coefficients in the inverse kinematics polynomial [16].

The presence of a cusp in the workspace was proved to be a necessary and sufficient condition for cuspidality in 3R *orthogonal* robots [12]. The proof used the fact that the complete parameter space of orthogonal robots was mapped, and it was clear from the classification that the nonsingular change of IKS meant encircling a cusp in the workspace [17] as illustrated in Fig. 1. Algebraic analysis of non-orthogonal 3R robots is more challenging, and no classification scheme has been attempted yet. In the absence of any counter-example, it has been conjectured that non-orthogonal 3R robots should behave like their orthogonal counterparts, i.e., they should have a cusp in the workspace to be cuspidal, but no formal proof exists to confirm this conjecture. A cusp allows a *local* nonsingular change of posture in any 3R robot [18] and this shows that the existence of a cusp is a *sufficient* condition for any 3R robot to be cuspidal. However, in theory, a nonsingular change of solution could be

also feasible in a more global way and without any cusp. In fact, this feature was shown to be present in parallel robots [19].

A necessary and sufficient condition of cuspidality for *any generic* 3R robot to be cuspidal would allow great deal of flexibility to the designers to choose from parameters that do not require strict alignment, orthogonality or intersection of joint axes.

The aim of this work is to provide a formal proof that the existence of a cusp is a necessary and sufficient condition for *any generic* 3R robot to be cuspidal, should they be orthogonal or not. This proof can be extended to all classes of 6R robots where the position degrees of freedom (dof) are decoupled from the orientation dof, such as 6R robots with a spherical wrist. This class forms a large population of 6R robots, thus emphasizing the impact of the presented work.

The following work is divided into three sections: Section 2 revisits the geometrical interpretation of the inverse kinematics of 3R robots, singularities and nonsingular change of posture. These concepts are then presented both in joint space and workspace in order to draw parallels. The main contribution of the work is expounded in Section 3 where a necessary and sufficient cuspidality condition for any generic 3R robot is put forth. Section 4 concludes the work by discussing the implications of the contribution and addressing a few pointers to future work.

2. Preliminaries

This section revisits briefly the geometric interpretation of inverse kinematic solutions proposed by Pieper [20]. This geometric interpretation will be used for our proof. Then, the interpretation of critical points (singularities) and nonsingular change of posture in the joint space and workspace are discussed, along with their geometrical implications. Relevant definitions and their interpretations in different spaces are explained in order to provide a background to the proof of the necessary and sufficient cuspidality condition. The section also highlights key terms relevant to the proposed proof.

2.1. Inverse kinematic solutions

Let $\mathbf{x} = (x, y, z)$ be the vector of coordinates of the robot's end effector in the workspace $\mathcal{W} \subset \mathbb{R}^3$ at a configuration $\mathbf{q} = (\theta_1, \theta_2, \theta_3)$ in the joint space $\mathcal{J} = \mathcal{S}^1 \times \mathcal{S}^1 \times \mathcal{S}^1$. The mapping between \mathcal{J} and \mathcal{W} , denoted by $f : \mathcal{J} \rightarrow \mathcal{W}$, defines the direct kinematics (1).

$$\mathbf{x} = f(\mathbf{q}), \mathbf{x} \in \mathcal{W}, \mathbf{q} \in \mathcal{J} \quad (1)$$

The elements in the preimage $f^{-1}(\mathbf{q})$ are the inverse kinematic solutions (IKS) of \mathbf{q} . A robot configuration associated with an IKS is called a *posture*.

Solving the inverse kinematics of 3R serial robots was first reported in [20] where it was noted that the solutions correspond to the intersection of a conic with a circle in c_3s_3 -plane, where c_3 and s_3 denote $\cos \theta_3$ and $\sin \theta_3$, respectively. The solution is presented briefly, as it has a key role in the proof to follow. In this paper, classical D-H parameters are used, as shown in Fig. 2.

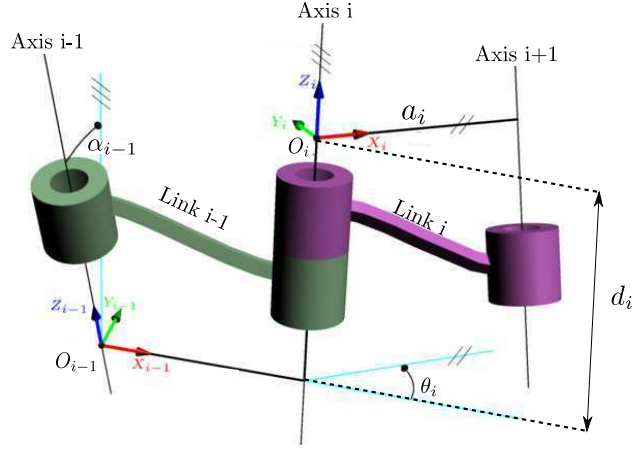


Figure 2: The D-H parameter notations used.

Let, $R = \rho^2 + z^2$, where $\rho^2 = x^2 + y^2 = g(\theta_2, \theta_3)$. The terms R and z can be written as

$$\begin{aligned} R &= (F_1 \cos \theta_2 + F_2 \sin \theta_2) 2a_1 + F_3 \\ z &= (F_1 \sin \theta_2 - F_2 \cos \theta_2) \sin \alpha_1 + F_4 \end{aligned}$$

where $F_i = g_i(\theta_3)$, for $i = 1, \dots, 4$. Upon rearrangement, we obtain the general equation of a conic in $c_3 s_3$ -plane as given in (2).

$$A_{xx} c_3^2 + 2A_{xy} c_3 s_3 + A_{yy} s_3^2 + 2B_x c_3 + 2B_y s_3 + C = 0 \quad (2)$$

The coefficients of the conic are skipped for brevity, but they are functions of the D-H parameters and of (R, z) as shown in (3),

$$\begin{aligned} A_{xx} &= h_1(a_1, a_2, a_3) \\ A_{xy} &= h_2(a_1, a_2, a_3, d_2, \alpha_2) \\ A_{yy} &= h_3(a_1, a_2, a_3, d_2, \alpha_1, \alpha_2) \\ B_x &= h_4(a_1, a_2, a_3, d_2, \alpha_2, R) \\ B_y &= h_5(a_1, a_2, a_3, d_2, d_3, \alpha_1, \alpha_2, R, z) \\ C &= h_6(a_1, a_2, a_3, d_2, d_3, \alpha_1, \alpha_2, R, z) \end{aligned} \quad (3)$$

The inverse kinematic solutions are defined by the intersection points between the conic (2) and the unit circle $c_3^2 + s_3^2 = 1$ in $c_3 s_3$ -plane. This conic can be a hyperbola, parabola or an ellipse depending on the D-H parameters and end-effector pose. An example of each one is shown in Fig. 3.

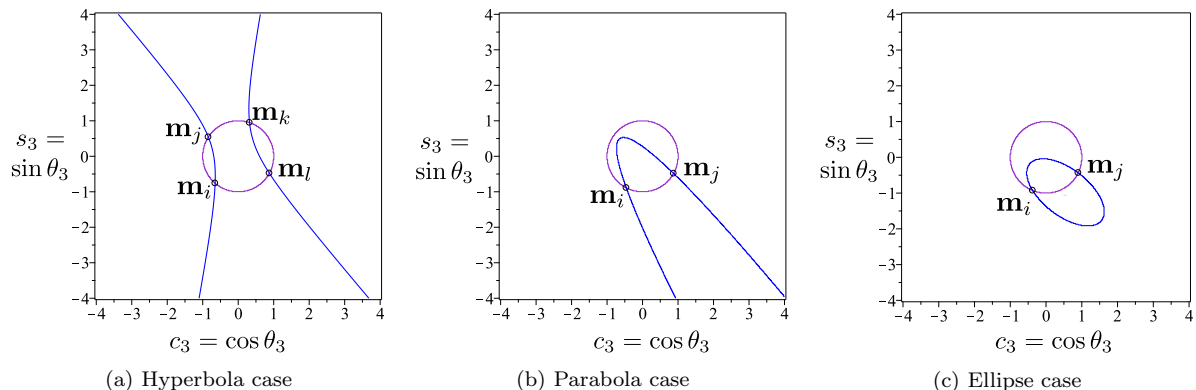


Figure 3: Intersection of the conic and unit circle in c_3s_3 -plane for robots with different D-H parameters. Robot parameters (3a): $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [1, 2, \frac{3}{2}]$, $\alpha = [\frac{\pi}{2}, \frac{\pi}{6}, 0]$, $(\rho, z) = (2.46, 0.15)$
 Robot parameters (3b): $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [1, 2, \frac{3}{2}]$, $\alpha = [\frac{\pi}{3}, \frac{\pi}{2}, 0]$, $(\rho, z) = (2.33, -0.26)$
 Robot parameters (3c): $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [1, 2, \frac{3}{2}]$, $\alpha = [\frac{\pi}{8}, \frac{\pi}{2}, 0]$, $(\rho, z) = (2.4, 0.6)$.

Performing the tangent half-angle substitution, $t = \tan \frac{\theta_3}{2}$, we get a quartic inverse kinematic polynomial $M(t) = at^4 + bt^3 + ct^2 + dt + e$ similar to the one mentioned in [16]. The coefficients of $M(t)$ are functions of the D-H parameters and of R and z . The solutions to the polynomial equation, $M(t) = 0$, are the intersection points between the conic and the circle and are labeled as \mathbf{m}_ψ , where $\psi \in \{i, j, k, l\}$ in the c_3s_3 -plane.

2.2. Singularities

The Jacobian of f at a certain configuration, denoted by $\mathbf{J}(\mathbf{q})$, is the Jacobian matrix of the robot at configuration \mathbf{q} :

$$\mathbf{J}(\mathbf{q}) = \frac{\partial f(\mathbf{q})}{\partial \mathbf{q}} \quad (4)$$

The singularities are the critical points of f in \mathcal{J} and correspond to the set of all configurations in the joint space where the Jacobian matrix loses rank, i.e. when the determinant of \mathbf{J} is zero. The critical values are the images of the critical points in \mathcal{W} . It is known that the roots of the inverse kinematic polynomial have multiplicity 2 or more at a singularity [16]. The algebraic expression of the singularity condition for an arbitrary 3R manipulator is recalled in Appendix A. The singularity in the workspace, the locus of critical value, is the image of the locus of critical points in the workspace and can be obtained from the inverse kinematic polynomial. The critical values in the workspace are those points where the following relation is satisfied:

$$\begin{aligned} M(t) &= 0 \\ \frac{\partial M(t)}{\partial t} &= 0 \end{aligned}$$

Where, $t = \tan \frac{\theta_3}{2}$ and $M(t)$ is the quartic inverse kinematic polynomial related to a 3R serial robot. The resulting algebraic expression is very large and is not reported here, see [16] and [21] for more details.

With the conic representation, the geometric interpretation of a singularity associated with a double root is a point where the conic is tangent to the circle, as shown in Fig. 4. The geometrical interpretation of a singularity associated with a root multiplicity higher than 2 is discussed in detail in [22, 23].

It is known that the singularities of 3R serial robots are independent of the first joint angle, θ_1 [24]. This allows one to reduce the 3-dimensional joint space to (θ_2, θ_3) . Consequently, the workspace is symmetric about the first joint axis. Assuming unlimited joints, it can thus be described by a half-cross section in the plane ($\rho = \sqrt{x^2 + y^2}, z$).

2.3. Recall of important definitions

Generic 3R serial robot: A 3R serial robot is generic if and only if there exists only rank-2 singularities, i.e., the locus of critical points in joint space has no self-intersection or does not include any isolated point singularity [24].

Aspects: The aspects are the largest singularity free connected regions in the joint space of a serial robot [5]. Figure 5 shows two aspects in the joint space of a 3R serial robot.

Cusp: A cusp is a point in the workspace of a serial robot that satisfies the following conditions [12]:

$$\begin{cases} M(t) = 0 \\ \frac{\partial M}{\partial t}(t) = 0 \\ \frac{\partial^2 M}{\partial t^2}(t) = 0 \end{cases} \quad (5)$$

where $M(t)$ is the inverse kinematic polynomial of degree four of a generic 3R serial robot. In Figs. 1, 4a and 4c, the robot has four cusps located at the corners of the inner region.

The cusp also has to satisfy:

$$\frac{\partial^3 M}{\partial t^3}(t) \neq 0 \quad (6)$$

in order to exclude quadruple roots. However, it was shown in [24] that quadruple roots cannot exist in generic 3R robots, and the condition in (6) is thus always satisfied here. So, in the context of generic 3R serial robots, a cusp in the workspace can be identified solely by condition (5).

Node: A node is a point in the workspace of a 3R serial robot where the inverse kinematic polynomial, $M(t)$, admits two distinct roots of multiplicity two as illustrated in Fig. 4b.

Cuspidal robot: A robot for which there exists a path in the joint space connecting two inverse kinematic solutions without crossing the locus of critical points, is defined as a cuspidal robot.

Pseudosingularity curve: If S is the set of critical points in the joint space, the pre-image of the critical values excluding S is defined as the pseudosingularity curve [21]:

$$PS = f^{-1}(f(S)) \setminus S \quad (7)$$

Reduced aspect: A reduced aspect is a region in the joint space that is bounded by the pseudosingularity curve and/or the locus of critical points and which has a one-to-one map

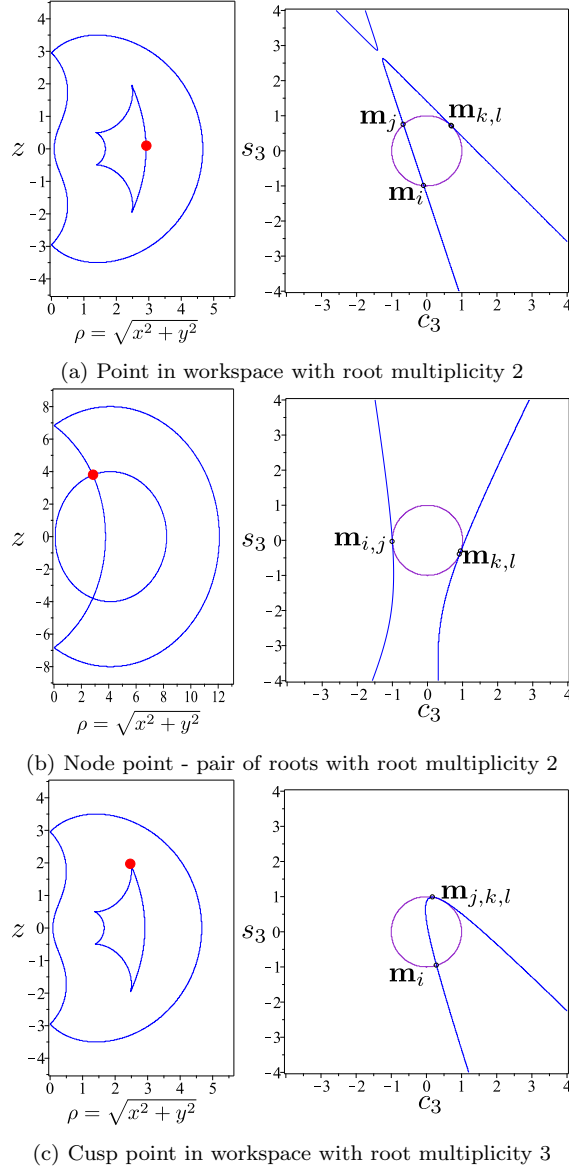


Figure 4: Types of critical values in the workspace and corresponding tangency in c_3s_3 -plane.

Robot parameters (4a): $d = [0, 1, 0]$, $a = [1, 2, \frac{3}{2}]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$, $(\rho, z) = (2.913, 0.1)$.

Robot parameters (4b): $d = [0, 1, 0]$, $a = [4, 2, 6]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$, $(\rho, z) = (2.84, 3.79)$

Robot parameters (4c): $d = [0, 1, 0]$, $a = [1, 2, \frac{3}{2}]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$, $(\rho, z) = (2.48, 1.96)$.

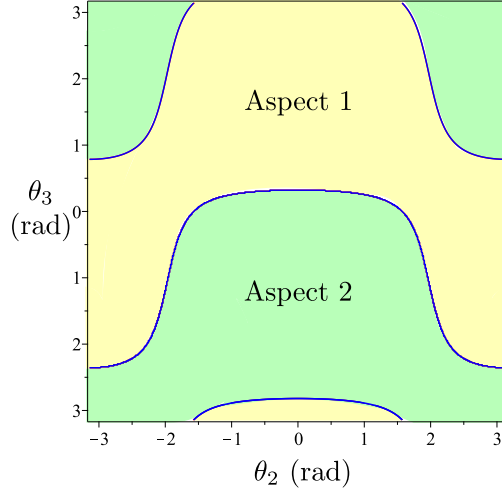


Figure 5: The two singularity free connected regions, called aspects, in joint space for a 3R serial robot
 Robot parameters: $d = [0, 1, 0]$, $a = [1, 2, \frac{3}{2}]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$

to a bounded region in the workspace [7]. Fig. 6 illustrates an example of a set of reduced aspects in an aspect of the joint space for an orthogonal 3R cuspidal robot. The blue lines are the locus of critical points and critical values in the joint space and the workspace, respectively, while the red lines are the pseudosingularities present in the joint space. Note that the reduced aspects 1 and 3 in the joint space map to the same region in the workspace, suggesting two IKS in an aspect.

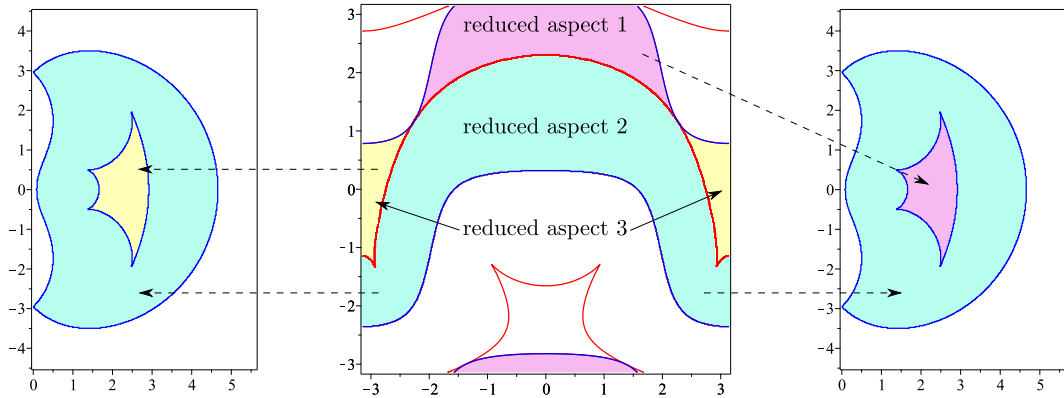
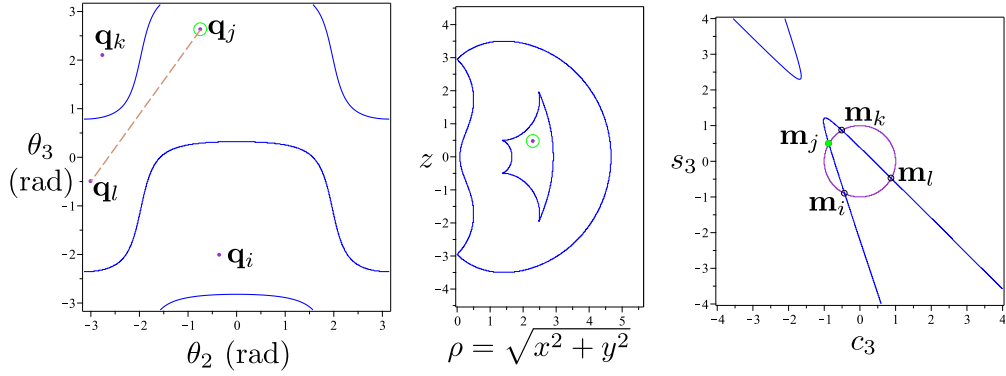


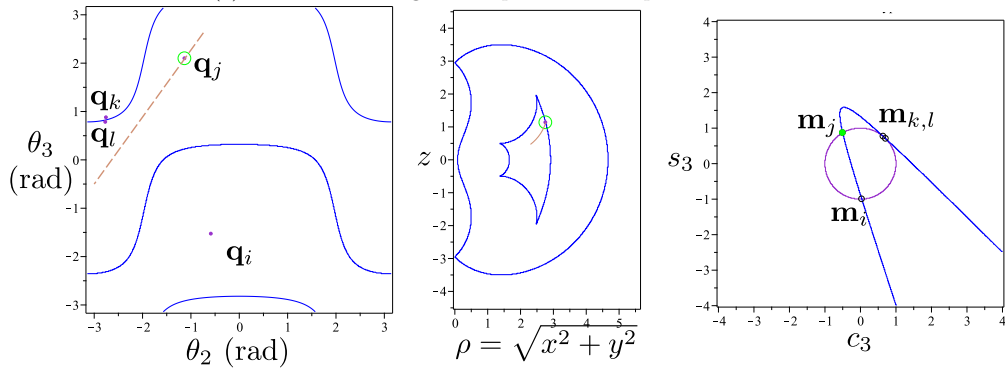
Figure 6: An example showing a set of reduced aspects present in an aspect of the joint space.
 Robot parameters: $d = [0, 1, 0]$, $a = [1, 2, \frac{3}{2}]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$.

2.4. Nonsingular change of posture

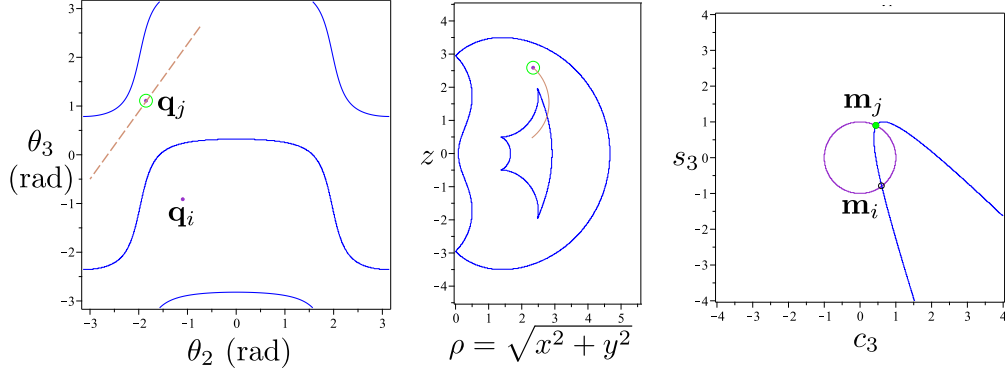
In the presented work, the joints of the robots are unlimited, and thus the workspace is not constrained by the joint limits. A generic 3R robot may have up to 4 IKS at a given end-effector pose. An IKS can be defined by a point in the joint space, and a nonsingular change of posture can be described by a connected path between two IKS that does not cross the locus of critical points in the joint space.



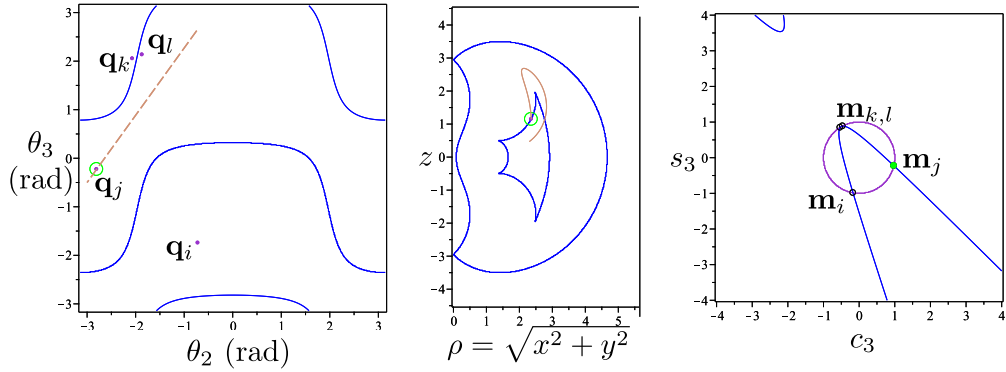
(a) Phase 1: Starting from a point in workspace with 4 IKS



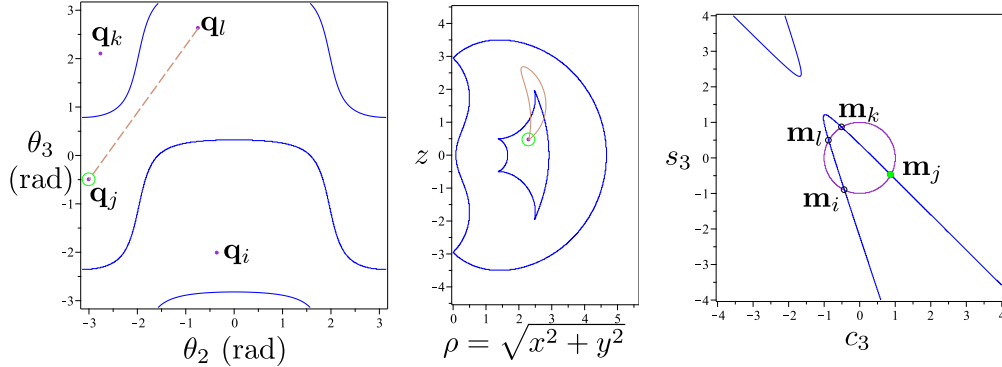
(b) Phase 2: Entering a 2 solution region in workspace



(c) Phase 3: intersection point crosses the vertex of the conic



(d) Phase 4: Re-entering the 4-solution region in workspace



(e) Phase 5: Reaching the same position in workspace

Figure 7: An example of a nonsingular change of posture in the joint space and the workspace, and its corresponding geometrical interpretation in the c_3s_3 -plane.

Robot parameters: $d = [0, 1, 0]$, $a = [1, 2, \frac{3}{2}]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$.

Path in the joint space (θ_2, θ_3) : from $(-0.742, 2.628)$ to $(-3, -0.5)$.

In the workspace, a nonsingular change of posture defines a loop as we end up at the same position we started from. It has been noted in [12] that the nonsingular trajectory in workspace always starts from a point with four IKS. The nature of this trajectory in the workspace will be studied in detail in the coming sections.

In the c_3s_3 -plane, the nonsingular change of posture has an interesting interpretation. If we have four intersection points, \mathbf{m}_i , \mathbf{m}_j , \mathbf{m}_k and \mathbf{m}_l , between the conic and the unit circle in c_3s_3 -plane corresponding to the four IKS at a particular end-effector pose, then the nonsingular change of posture between two IKS corresponding to \mathbf{m}_j and \mathbf{m}_l is such that \mathbf{m}_j switches with \mathbf{m}_l without vanishing as an intersection point of the conic and the unit circle. An example of a nonsingular change of posture is illustrated in Fig. 7.

Proposition 1. *If \mathcal{A} and \mathcal{B} are two bounded regions in the same aspect sharing a common pseudosingularity curve \mathcal{AB}^* and their image in the workspace belongs to regions \mathcal{A}_w and \mathcal{B}_w respectively, then the absolute difference between the number of IKS in region \mathcal{A}_w and in region \mathcal{B}_w is always two (refer to Fig. 9). Moreover, the absolute difference between the number of IKS in region \mathcal{A}_w or \mathcal{B}_w and at any point on the boundary \mathcal{AB}_w^* between them, is always one (Fig. 8).*

This is a well-known property [16] and is commonly interpreted as two inverse kinematic solutions merge at a singular configuration. It is also important to note that for a generic 3R robot, the shared boundary does not include isolated finite points.

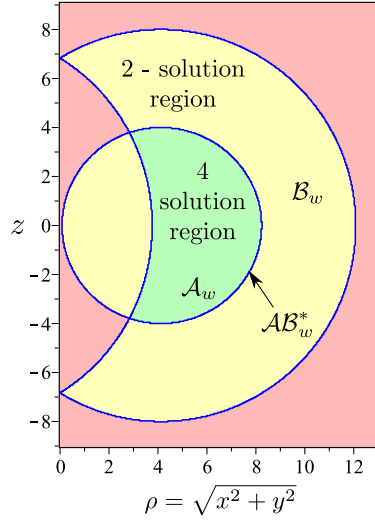


Figure 8: Regions separated by the locus of critical values in the workspace. There are 3 IKS on \mathcal{AB}_w^* . Robot parameters: $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [4, 2, 6]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$.

If a pseudosingularity exists in the joint space of a 3R serial robot, then each point on the pseudosingularity curve has an image on the locus of critical values in the workspace. Therefore, crossing a pseudosingularity curve in the joint space is similar to crossing the locus of critical values in the workspace, and thus the images of the regions sharing the pseudosingularity curve should have absolute difference of two.

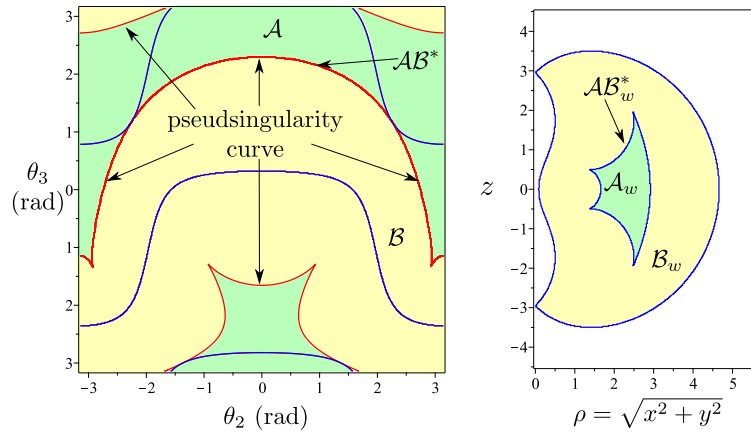


Figure 9: An example of the regions separated by the pseudosingularity curve in joint space and the corresponding images in workspace.

Robot parameters: $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [1, 2, \frac{3}{2}]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$, $(\rho, z) = (2.5, 0.5)$.

2.5. Sufficient condition

Theorem 1. *The existence of a cusp in the workspace of a 3R robot is a sufficient condition for the robot to be cuspidal.*

Proof. Using Whitney’s theorem [25], it has been noted in [18], that the existence of a cusp in the workspace of a 3R robot is equivalent to a nonsingular change of posture in a sufficiently small neighborhood of the cusp. \square

It is important to note that the work in [18] does not establish the necessary and sufficient cuspidality condition, as the existence of a cusp can be confirmed only if we have a nonsingular change of posture in a *sufficiently small* neighborhood. In Fig. 10, the nonsingular change of posture from \mathbf{q}_1 to \mathbf{q}_3 for a point, \mathbf{x} , in the workspace is not local and thus the equivalence in [18] cannot be used to prove that the above sufficient condition is also necessary. In the next section, we establish a proof that the existence of a cusp is also a necessary condition for a any generic 3R robot to be cuspidal.

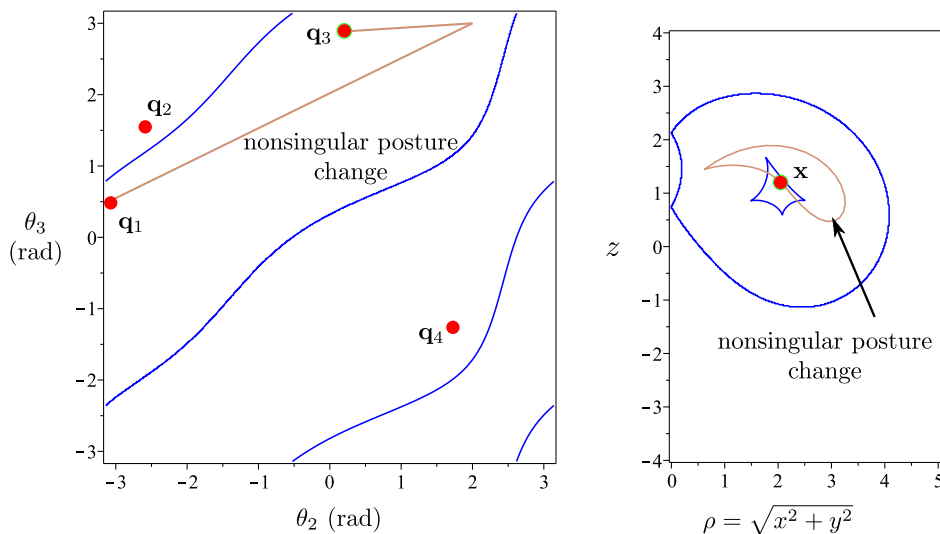


Figure 10: Example showing a non-local nonsingular change of posture in joint space and workspace.

Robot parameters: $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [1, 2, 1]$, $\alpha = \left[\frac{\pi}{6}, \frac{\pi}{2}, 0\right]$.

Trajectory in joint space (θ_2, θ_3) : $(-3, 0.5)$ to $(2, 3)$ to $(0.2, 2.8)$.

3. Proof

In this section, we present the proof of the necessary cuspidality condition for any generic 3R robot. The proof discusses several lemmas and uses proposition 1 and definitions in Section 2 to arrive at a conclusion. Figure 11 shows a flowchart illustrating the organization of the proof. This flowchart should be read as follows: Proposition 2 is the cuspidality necessary condition which, along with the cuspidality sufficient condition (Theorem 1), makes it possible to establish the necessary and sufficient condition of cuspidality in the end of this section (Theorem 3). To prove Proposition 2, Lemmas 1, 2 and 3 are first established, leading to Theorem 2 which, along with Proposition 1, leads to Lemma 4. Lemmas 4 and 5 lead to Lemma 6, which makes it possible to prove Proposition 2.

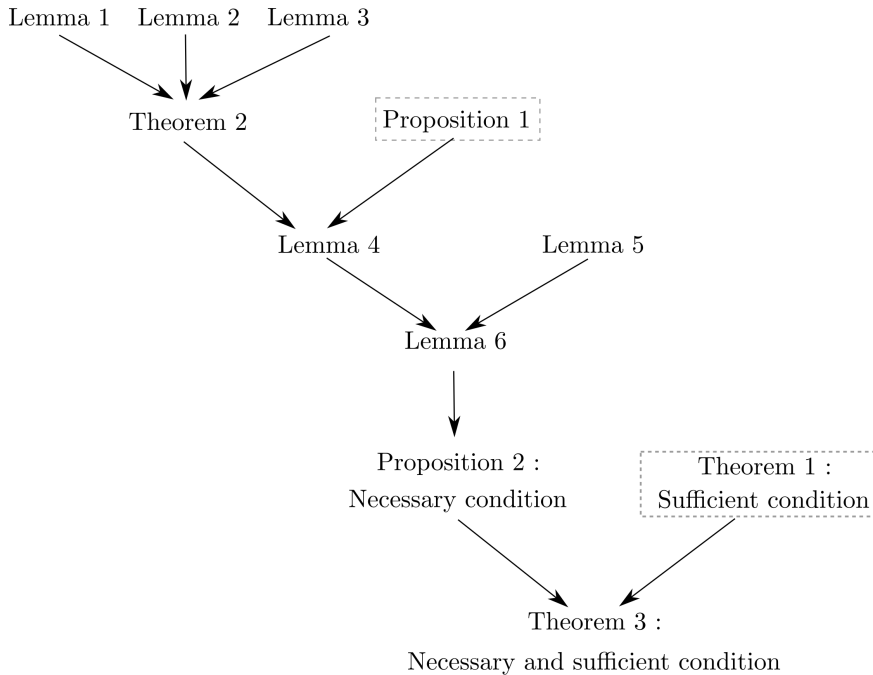


Figure 11: The flowchart of the proof for the necessary and sufficient condition. Proposition 1 and Theorem 1 are already proven and mentioned in Section 2.

3.1. Necessary condition

Proposition 2. *If a generic 3R robot is cuspidal, then there exists a cusp in the workspace of this robot.*

We prove Proposition 2 by contradiction: we consider a hypothetical cuspidal robot that has no cusps in its workspace and we show that this case cannot exist. In order to do so, we first need to set and prove a series of lemmas.

Lemma 1. *The nature of the conic, i.e. ellipse, hyperbola or parabola, related to a particular set of the D-H parameters of a generic 3R robot remains the same throughout the workspace of the robot.*

Proof. The determinant of the matrix \mathbf{D} displayed in (8) determines the nature of the conic. Since A_{xx} , A_{xy} and A_{yy} are functions of D-H parameters only as shown in (3), the nature of the conic remains the same throughout the workspace.

$$\mathbf{D} = \begin{bmatrix} A_{xx} & A_{xy} \\ A_{xy} & A_{yy} \end{bmatrix} \quad (8)$$

□

Lemma 2. *The orientation of the principal axes of the conic related to a particular set of D-H parameters of a generic 3R serial robot is constant throughout the workspace of the robot.*

Proof. The eigenvectors of \mathbf{D} determine the orientation of the principal axes and, as noted in the proof of Lemma 1, \mathbf{D} is independent of R and z and the eigenvectors are thus constant for a given robot. \square

For a given point \mathbf{p} in the workspace of a generic 3R robot, let $n \in \{1, 2, 3, 4\}$ be distinct preimages such that we have n intersection points between the conic and the circle in c_3s_3 -plane. We will say that an intersection point, \mathbf{m}_j , in c_3s_3 -plane is adjacent to another intersection point, \mathbf{m}_i , if it is the first intersection point encountered after travelling in either clockwise or counterclockwise direction starting from \mathbf{m}_i . It has been illustrated in Fig. 4 that any path in the workspace starting from \mathbf{p} to any point on the boundary, results in at least 2 intersection points coming together at the tangent point in c_3s_3 -plane. Accordingly, the following Lemma is set:

Lemma 3. *In a generic 3R robot, two intersection points, \mathbf{m}_a and \mathbf{m}_b , meet at a tangent point corresponding to roots of the inverse kinematic polynomial with multiplicity two, only if they are adjacent to each other in the cyclic ordering of the intersection points in the c_3s_3 - plane.*

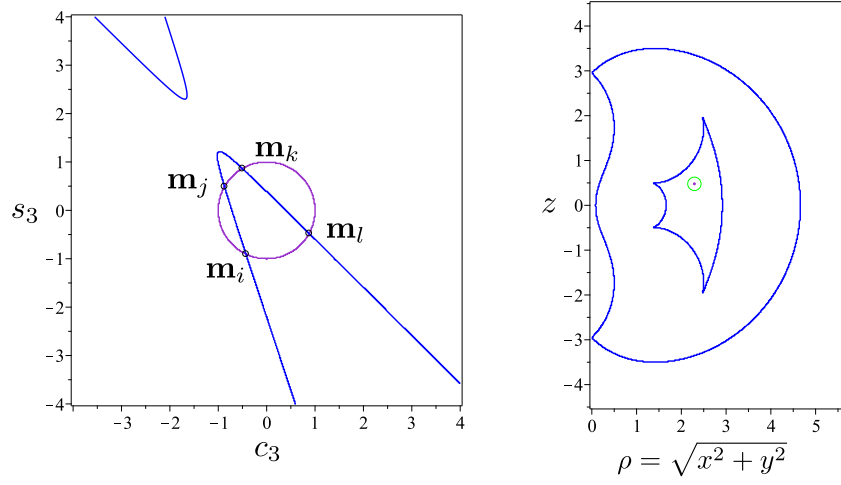
Proof. For two points $\mathbf{m}_a, \mathbf{m}_b$ to meet together, \mathbf{m}_a should start travelling towards \mathbf{m}_b , or \mathbf{m}_b should travel towards \mathbf{m}_a . If there exists an intersection point \mathbf{m}_c between them, then \mathbf{m}_a and \mathbf{m}_b can meet at a tangent point only after either \mathbf{m}_a or \mathbf{m}_b meets \mathbf{m}_c at a tangent point. A graphical illustration of the tangency between the adjacent points is given in Fig. 12. \square

As the critical values represent tangent points in c_3s_3 -plane, a node in the locus of critical values is when we have two tangency points. A cusp occurs when three out of four intersection points merge together at a tangent point. All four solutions cannot meet together at a tangent point in a generic 3R robot [24]. Also, isolated finite points of critical values cannot exist in a generic 3R robot, and thus this particular case is not considered in the context of critical values.

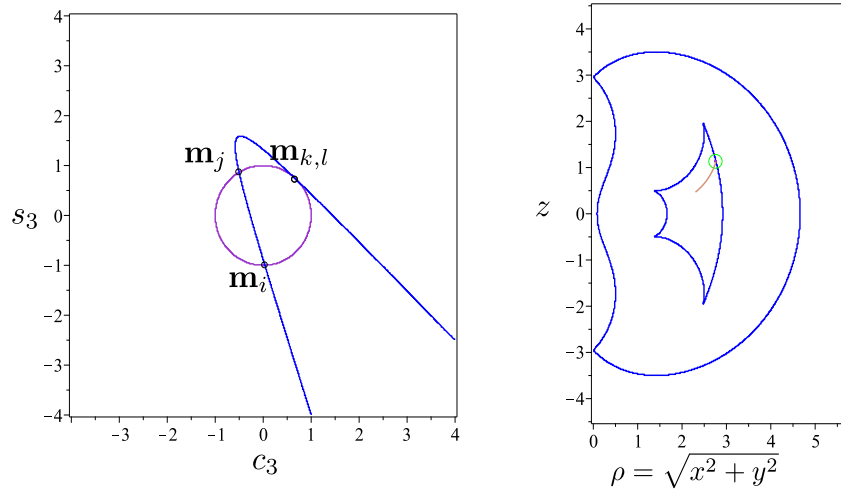
Theorem 2. *In an arbitrary generic 3R robot, the inverse kinematic solutions lie always in distinct reduced aspects.*

Proof. As shown in Lemma 2, the orientation of the conic corresponding to a particular set of D-H parameters remains constant. Suppose that the inverse kinematic solutions do not belong to distinct reduced aspects. Then, there should exist a path between two inverse kinematic solutions without intersecting a pseudosingularity or the locus of critical points. The interpretation of such a path in c_3s_3 -plane is that two intersection points \mathbf{m}_j and \mathbf{m}_l switch places and neither \mathbf{m}_j nor \mathbf{m}_l becomes a tangent point in c_3s_3 -plane.

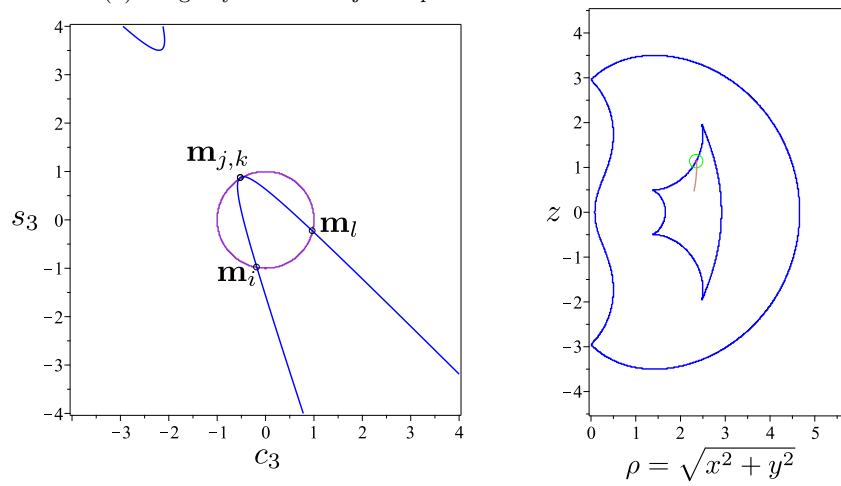
As the orientation of the principle axes of the conic does not change, the intersection points, \mathbf{m}_j and \mathbf{m}_l , in c_3s_3 -plane cannot be adjacent in a cyclic ordering since it would imply \mathbf{m}_j meeting \mathbf{m}_l at a tangent point to switch with \mathbf{m}_l . Let \mathbf{m}_k lie between \mathbf{m}_j and \mathbf{m}_l while travelling clockwise starting from \mathbf{m}_j and let \mathbf{m}_i lie between \mathbf{m}_j and \mathbf{m}_l while travelling counterclockwise starting from \mathbf{m}_j as shown in Fig. 12a. As we know that the conic is not



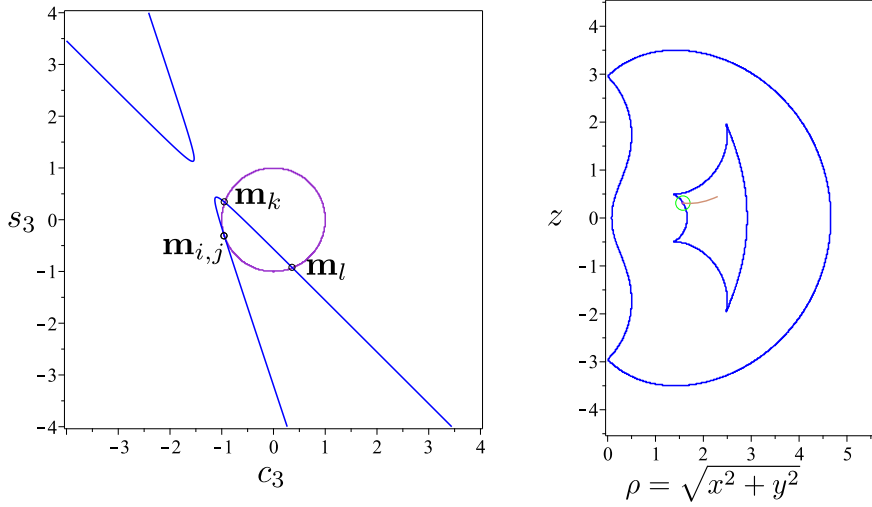
(a) Initial configuration with four intersection points in c_3s_3 - plane



(b) Tangency between adjacent points on either side of the vertex



(c) Tangency between adjacent points on same side of the vertex



(d) Tangency between adjacent points on same side of the vertex

Figure 12: The merging of two adjacent points in a conic at a tangent point and geometrical interpretation of the components of the locus of critical values.

Robot parameters: $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [1, 2, 3/2]$, $\alpha = \left[-\frac{\pi}{2}, \frac{\pi}{2}, 0\right]$, $(\rho, z) = (2.5, 1)$ and $(3, 0)$.

rotating, the only way for \mathbf{m}_j to switch to \mathbf{m}_l is to meet either \mathbf{m}_k or \mathbf{m}_i at a tangent point. If not, then \mathbf{m}_l meets \mathbf{m}_k or \mathbf{m}_i at a tangent point. This contradicts the assumption that the inverse kinematic solutions associated with \mathbf{m}_j and \mathbf{m}_l do not lie in distinct reduced aspects. \square

In a cuspidal robot, at least two inverse kinematic solutions \mathbf{q}_1 and \mathbf{q}_2 lie in an aspect. By using Theorem 2, we know that \mathbf{q}_1 and \mathbf{q}_2 lie in two separate reduced aspects, and thus we cross pseudosingularities during a nonsingular change of posture. As pseudosingularities separate the reduced aspects whose image in the workspace lie in distinct non-connected regions (from Proposition 1), we cross the pseudosingularities at least twice in a nonsingular change of posture.

In order to discuss the path corresponding to the nonsingular change of posture in the workspace, components of the locus of critical values are discussed. The interpretation of these components in c_3s_3 -plane allows one to draw important conclusions about the nature of the locus of critical values.

3.2. Components of the locus of critical values

A n -solution region in the workspace is always bounded by the locus of critical values which, for a generic 3R serial chain, can include cusps and/or nodes

Definition 1. *The components of the critical values are the connected components of the locus of the critical values, upon excluding all cusps and nodes.*

A point \mathbf{p} in a region of the workspace with four preimages, corresponds to a situation where the conic intersects the unit circle at four points in c_3s_3 -plane (Fig. 12a). Let the

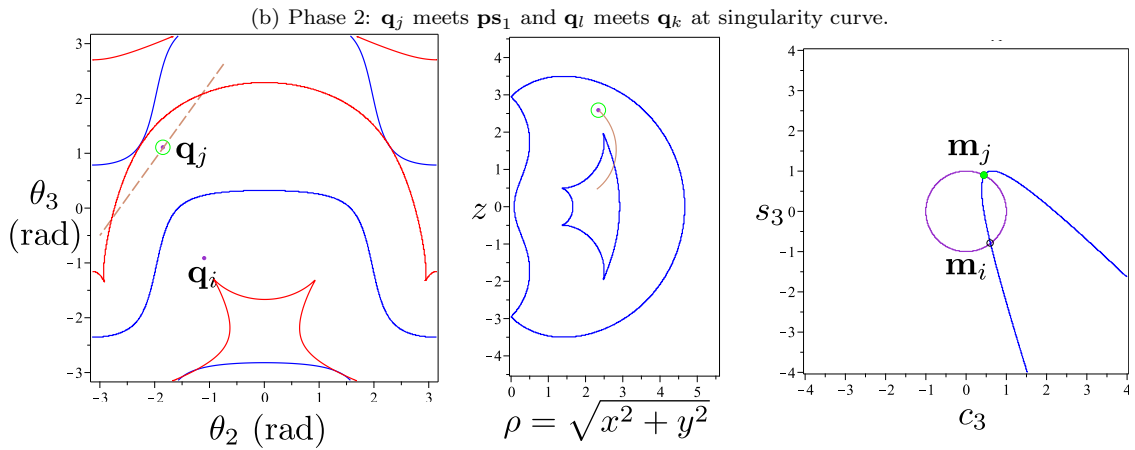
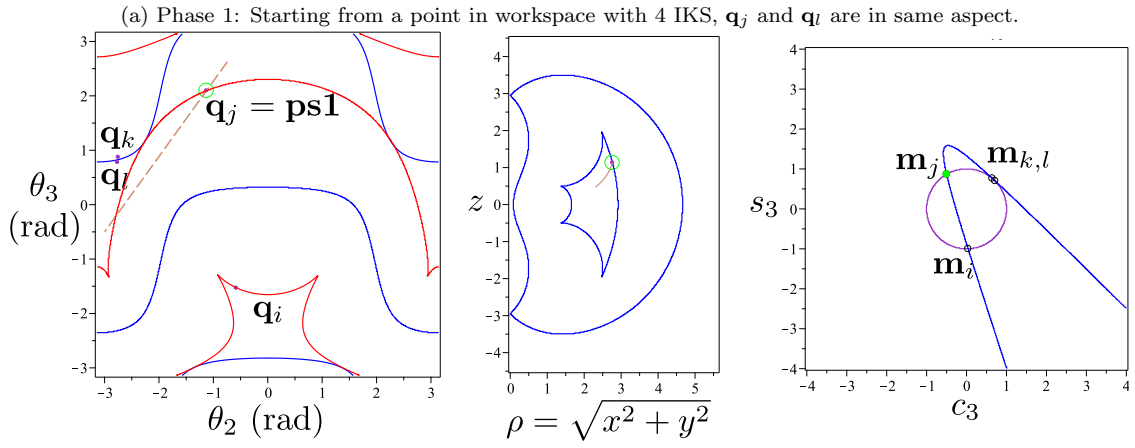
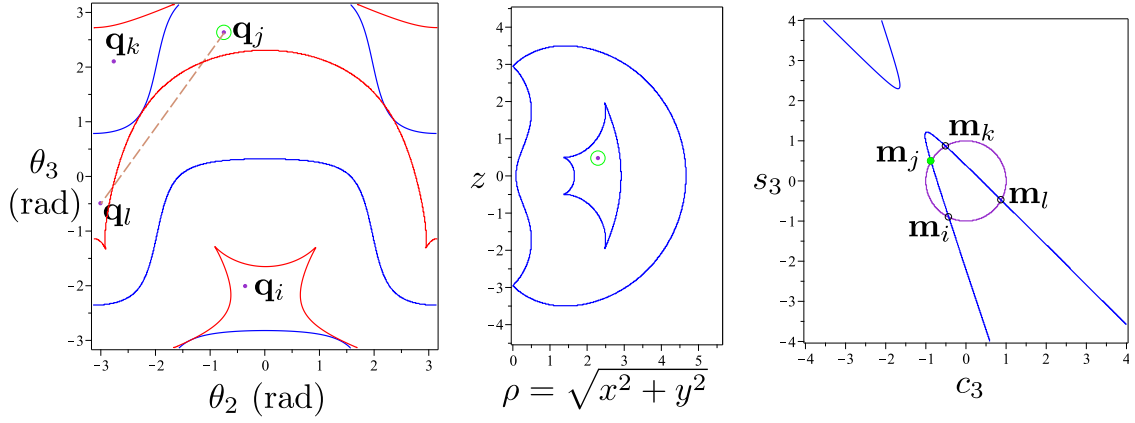
intersection points be \mathbf{m}_i , \mathbf{m}_j , \mathbf{m}_k and \mathbf{m}_l . There are up to four different pairs in which the points can merge, viz. $\mathbf{m}_i\mathbf{m}_j$, $\mathbf{m}_j\mathbf{m}_k$, $\mathbf{m}_k\mathbf{m}_l$ and $\mathbf{m}_l\mathbf{m}_i$ (Fig. 12). Thus, depending upon the type of robot, a 4-solution region in the workspace can be bounded by a maximum of four distinct components of singularities. A geometrical interpretation of the component of critical values is associated with the merging of a particular pair of intersection points in c_3s_3 - plane.

Lemma 4. *Let \mathbf{q}_1 and \mathbf{q}_2 be two inverse kinematic solutions in the same aspect. Considering a generic nonsingular change of posture from \mathbf{q}_1 to \mathbf{q}_2 , the images of the pseudosingularities that the point \mathbf{q}_1 crosses to go to \mathbf{q}_2 , belong to at least 2 different components of the critical values in the workspace.*

Proof. It is evident from the definition of pseudosingularity curve that if an IKS of a robot lies on the pseudosingularity curve, then there exists an IKS of the robot on the locus of critical points as well. An example of nonsingular change of posture is shown in Fig. 13 where the path crosses the pseudosingularity curve twice. \mathbf{q}_j crosses the pseudosingularity curve twice at \mathbf{ps}_1 and \mathbf{ps}_2 in order to switch with \mathbf{q}_l in a nonsingular posture change. From Theorem 2, we know that \mathbf{q}_j and \mathbf{q}_l lie in two distinct reduced aspects \mathcal{A} and \mathcal{B} , respectively. The reduced aspect \mathcal{A} is bounded by the locus of critical points and at least by the segment of the pseudosingularity curve including \mathbf{ps}_1 . The reduced aspect \mathcal{B} is bounded by the locus of critical points and at least by the segment of the pseudosingularity curve including \mathbf{ps}_2 . By Proposition 1, we assert that in generic 3R robots, pseudosingularities always separate the reduced aspects whose image in the workspace belong to regions with different number of IKS. So, we know that when \mathbf{q}_j crosses \mathbf{ps}_1 , \mathbf{q}_l disappears after meeting the locus of critical points bounding the reduced aspect \mathcal{B} . Clearly, \mathbf{q}_j crosses the pseudosingularity at \mathbf{ps}_2 in order to enter the reduced aspect \mathcal{B} . For each point in the reduced aspect \mathcal{B} , there should be a corresponding point in the reduced aspect, \mathcal{A} , as both of them map to the same bounded region in the workspace, as shown in Fig. 6. Thus, when \mathbf{q}_j is on \mathbf{ps}_2 , there appears a point corresponding to \mathbf{q}_l on the locus of critical points bounding the reduced aspect \mathcal{A} .

Let \mathbf{m}_i , \mathbf{m}_j , \mathbf{m}_k , \mathbf{m}_l be the four intersection points in c_3s_3 -plane corresponding to the four IKS in the joint space, \mathbf{q}_i , \mathbf{q}_j , \mathbf{q}_k , \mathbf{q}_l (see Fig. 13). When \mathbf{q}_j crosses the pseudosingularity curve at \mathbf{ps}_1 , \mathbf{q}_l meets either \mathbf{q}_i or \mathbf{q}_k . In c_3s_3 -plane, \mathbf{q}_l meeting \mathbf{q}_i or \mathbf{q}_k is similar to \mathbf{m}_l meeting either \mathbf{m}_i or \mathbf{m}_k at the tangent point. Now, when \mathbf{q}_j crosses the pseudosingularity curve at \mathbf{ps}_2 , \mathbf{q}_l enters \mathcal{A} . \mathbf{q}_l emerging on the locus of critical point bounding \mathcal{A} is similar to \mathbf{m}_l merging with \mathbf{m}_i or \mathbf{m}_k in the initial setup. Thus, the images of the critical points bounding \mathcal{A} and \mathcal{B} belong to two separate components of critical values as \mathbf{q}_l switches position with \mathbf{q}_j . This proves that the images corresponding to \mathbf{ps}_1 and \mathbf{ps}_2 lie on two distinct components of critical values in the workspace. \square

So a nonsingular change of posture in the workspace looks like a path that exits the 4-solution region by crossing a component of critical values and re-entering the region by crossing another component of critical values. Figure 7 shows an example of a point crossing two components of critical values in a nonsingular posture change. The path does not necessarily enter and exit by crossing the components of critical values that form a cusp



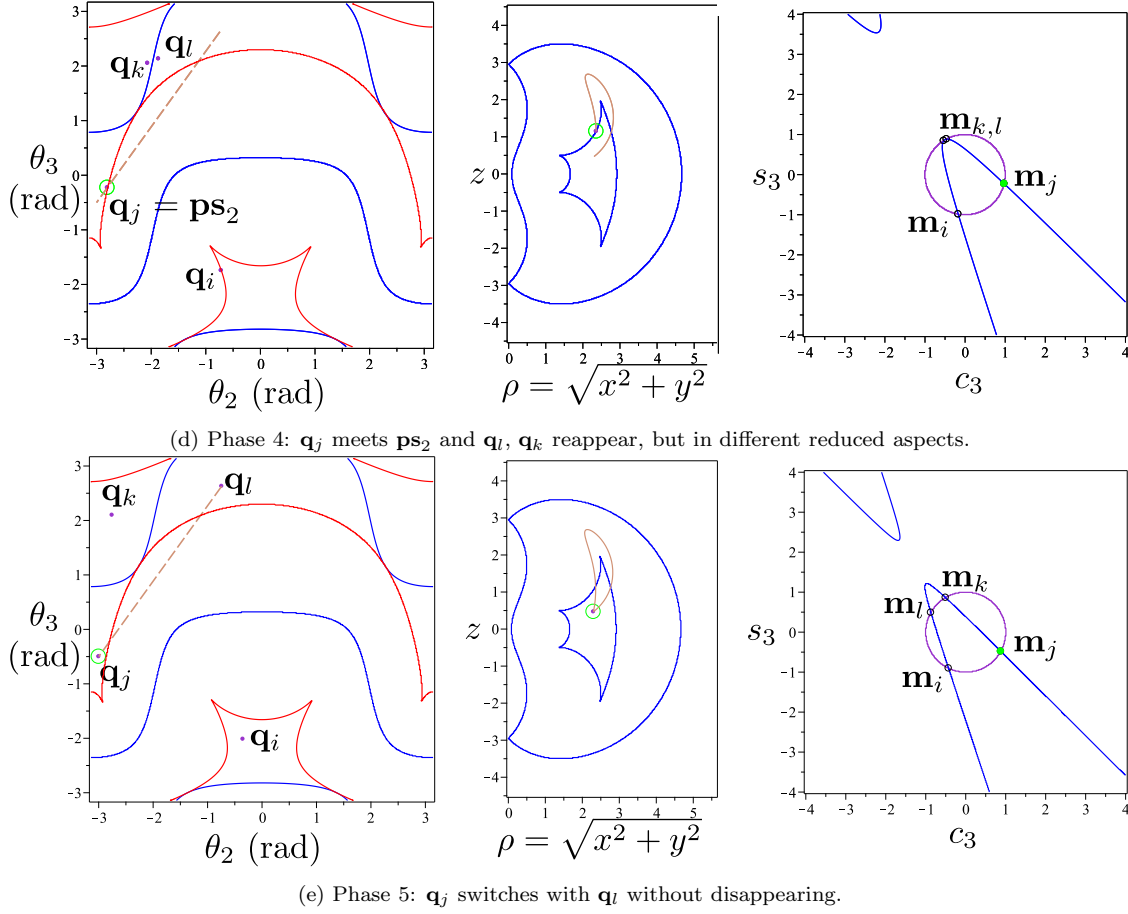


Figure 13: An example of nonsingular change of posture crossing a pseudosingularity curve at 2 points.

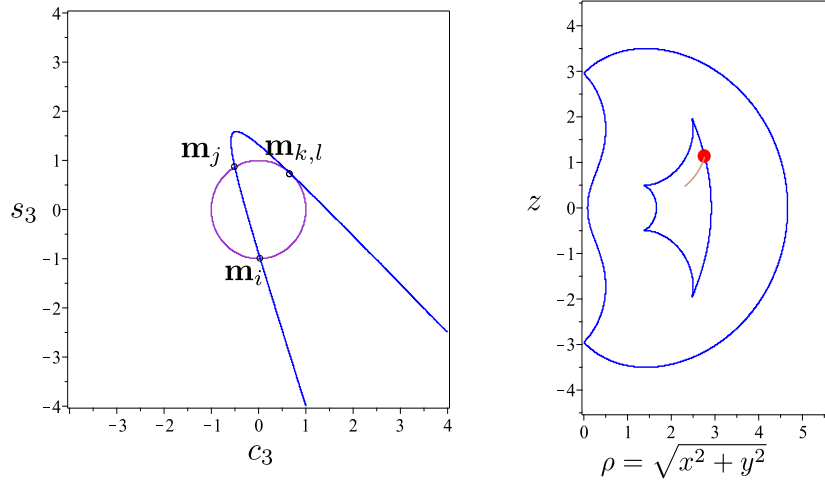
Robot parameters: $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [1, 2, 3/2]$, $\boldsymbol{\alpha} = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$.

path in the joint space (θ_2, θ_3) : from $(-0.742, 2.628)$ to $(-3, -0.5)$.

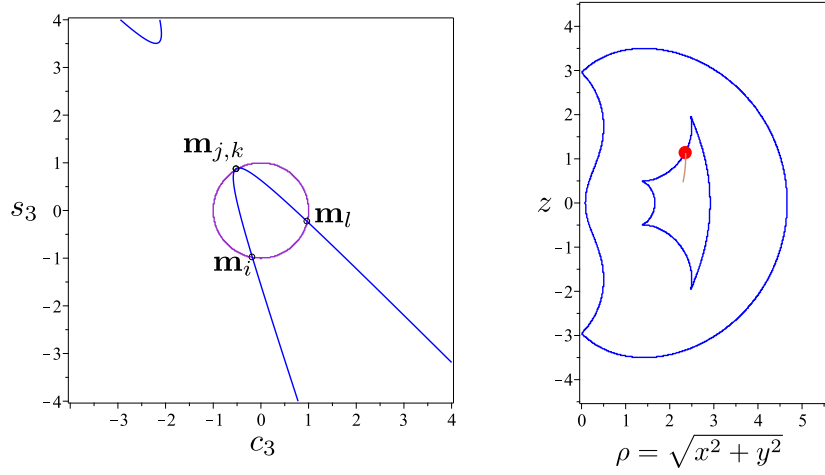
(refer to Fig. 10), but it is imperative to note that by proving Lemma 4, we know that the path has to exit and enter by crossing two distinct components of critical value.

Lemma 5. *If points $\mathbf{m}_j, \mathbf{m}_l$ in c_3s_3 -plane belong to the same aspect in the joint space and if there exists a cusp in the workspace, then there exists another intersection point, \mathbf{m}_k , in the middle of (in terms of circle ordering) \mathbf{m}_j and \mathbf{m}_l , such that $\mathbf{m}_j, \mathbf{m}_k$ and $\mathbf{m}_k, \mathbf{m}_l$ correspond to the two components of critical values that form a cusp in the workspace (see Fig. 14).*

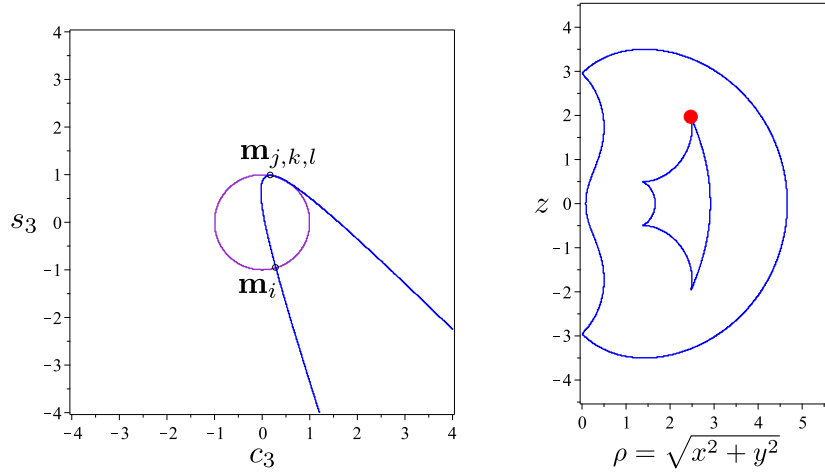
Proof. As shown in Fig. 4, the interpretation of a cusp in c_3s_3 -plane is such that 3 intersection points come together at a tangent point. Also, a cusp is a merging point of two separate components of critical value. As the components of critical values relate to merging of a particular pair of intersection points, if two components are meeting at a cusp in the workspace such that $\mathbf{m}_j, \mathbf{m}_k$ and \mathbf{m}_l merge in c_3s_3 -plane with \mathbf{m}_k being in between \mathbf{m}_j and \mathbf{m}_l , then the two components of critical values must belong to the merging of $\mathbf{m}_j, \mathbf{m}_k$ and $\mathbf{m}_k, \mathbf{m}_l$. \square



(a) Component of critical value adjacent to a cusp point



(b) Component of critical value adjacent to a cusp point



(c) Cusp point

Figure 14: Geometrical interpretation of the cusp point and the adjacent components of critical values
 Robot parameters: $\mathbf{d} = [0, 1, 0]$, $\mathbf{a} = [1, 2, 3/2]$, $\alpha = [-\frac{\pi}{2}, \frac{\pi}{2}, 0]$.

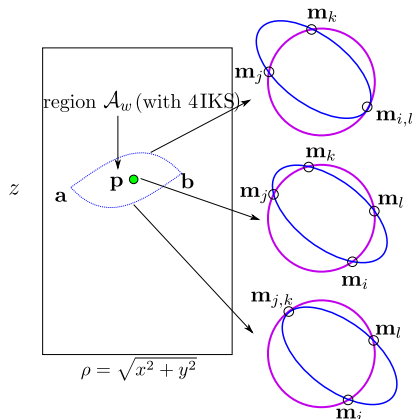


Figure 15: Region \mathcal{A}_w in the workspace with 4 IKS and its geometrical interpretation. Robot parameters are imagined to illustrate a particular case. The conic can be a hyperbola or an ellipse.

Lemma 6. *For a nonsingular change of posture starting from a point in a bounded region \mathcal{A}_w in the workspace, there exists a path in the workspace that does not meet any critical values not bounding region \mathcal{A}_w .*

Proof. If there exists a cusp, the Lemma is automatically true [17] as encircling the cusp point is an example of a path that intersects the components of critical values bounding a single region in the workspace. We thus consider only the case in which there is no cusp in the boundary of the region \mathcal{A}_w . The proof of the Lemma comes from the simultaneous analysis of the nonsingular change of posture in the workspace as well as in c_3s_3 -plane. Considering a point \mathbf{p} in the 4-solution region in the workspace (see Fig. 15), let the four intersection points corresponding to this position in c_3s_3 -plane be \mathbf{m}_i , \mathbf{m}_j , \mathbf{m}_k and \mathbf{m}_l . Let, \mathbf{m}_i and \mathbf{m}_k be the solutions in the same aspects. We know from Lemma 4 that the region \mathcal{A}_w is bounded by at least 2 components of critical values. As the boundary of the region \mathcal{A}_w does not have a cusp, the components of critical values that bound the region \mathcal{A}_w in the workspace are related to two cases: merging of \mathbf{m}_i , \mathbf{m}_l and \mathbf{m}_j , \mathbf{m}_k (see Fig. 15) or \mathbf{m}_i , \mathbf{m}_j and \mathbf{m}_k , \mathbf{m}_l . Without loss of generality, we may assume the first case. As there are only two possible tangent points, \mathcal{A}_w is bounded by only 2 components of critical values.

As region \mathcal{A}_w is bounded and there exists no cusps, the two components of critical values bounding the region \mathcal{A}_w must intersect. In the c_3s_3 -plane, points $(\mathbf{m}_i, \mathbf{m}_l)$ and $(\mathbf{m}_j, \mathbf{m}_k)$ either meet simultaneously at two distinct points (bitangent case) or they meet at a single point of tangency between the conic and the circle as illustrated in Fig. 16. Since we are considering generic robots, we cannot have four equal IKS, and we can immediately conclude that the intersection of the components of critical values corresponds to the bitangent case.

Let \mathcal{B}_w be an arbitrary 4 solution region in the workspace that is not \mathcal{A}_w . Proceeding by contradiction, it is sufficient to show that a path crossing two distinct components of the critical values bounding region \mathcal{B}_w in order to enter and exit \mathcal{B}_w , does not correspond to a nonsingular change of posture. An example of such a workspace is illustrated in Fig. 17 and the interpretation of a closed loop path in the workspace is given in Fig. 18, which shows that such a path cannot define a nonsingular change of posture. From Lemma 4, we

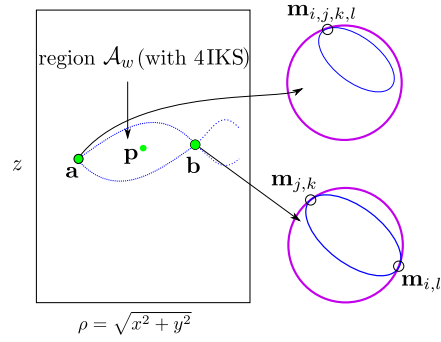


Figure 16: The intersections of components of critical values bounding \mathcal{A}_w in the workspace and its geometrical interpretation. Robot parameters are imagined to illustrate a particular case. The conic can be a hyperbola or an ellipse.

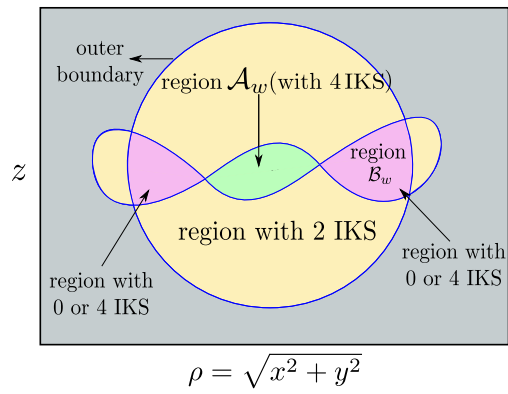


Figure 17: An example of the shape of the workspace, where a closed loop path starting from a point in \mathcal{A}_w must cross two distinct components of critical values bounding region \mathcal{B}_w

already know that the path in workspace corresponding to the nonsingular change of posture crosses two different components of critical values of region \mathcal{A}_w . If the path exits \mathcal{A}_w by crossing the component corresponding to the merging of \mathbf{m}_i and \mathbf{m}_l , then it must cross the component belonging to the merging of \mathbf{m}_j and \mathbf{m}_k while entering the same region. Now, if the case shown in Fig. 17 exists, then in order to enter \mathcal{A}_w , we will have to cross another 4-solution region, \mathcal{B}_w , bounded by at least 2 different components of critical value. As we are considering only the case without cusps in the workspace, \mathcal{B}_w is also bounded by two components of critical values having no point in common, i.e. if one component corresponds to the merging of \mathbf{m}_i and \mathbf{m}_l , then the other component must correspond to the merging of \mathbf{m}_j and \mathbf{m}_k . While crossing \mathcal{B}_w , one needs to cross both components. This means that if one tracks the intersection point \mathbf{m}_i from its initial position, then this point will have been a tangent point (in c_3s_3 -plane) while crossing either of the two components of \mathcal{B}_w . This leads to a contradiction, as we have assumed that one of the points we are tracking will not be tangent to the unit circle to qualify as a valid nonsingular change of posture. Figure 18 illustrates a path where two singularities of another 4-solution region without any cusp are crossed. We start from an initial point \mathbf{p} in the 4-solution region, \mathcal{A}_w , of the workspace that corresponds to Posture 1 in the c_3s_3 -plane (refer to Fig. 18). We assume that the robot corresponding to this case is cuspidal and the IKS corresponding to \mathbf{m}_j and \mathbf{m}_l lie in the same aspect. In Posture 2, we cross the component of critical values that belongs to the merging of \mathbf{m}_i and \mathbf{m}_l . This already suggests that for a valid nonsingular change of posture, \mathbf{m}_j should switch places with \mathbf{m}_l without being a tangent point in the c_3s_3 -plane. The path going from Posture 3 to Posture 5 is the entry into another 4-solution region, \mathcal{B}_w . As we have entered region \mathcal{B}_w by crossing the component of critical values corresponding to the merging of \mathbf{m}_i and \mathbf{m}_l , we need to exit the region by crossing the component of critical values corresponding to the merging of \mathbf{m}_j and \mathbf{m}_k as shown in Posture 6. This proves that such a path is an invalid nonsingular change of posture, as we encountered a singular configuration while exiting \mathcal{B}_w . \square

3.3. The “candy” case

Proof of Proposition 2. By Lemma 6, the curves corresponding to the critical values are enclosed by an outer boundary of the workspace and would have a shape as illustrated in Fig. 19. We shall refer to this shape as the “candy” case. We arrive at this case by starting with a point, \mathbf{p} , in the workspace with four preimages. Let the points of intersection in c_3s_3 -plane corresponding to \mathbf{p} be \mathbf{m}_i , \mathbf{m}_j , \mathbf{m}_k and \mathbf{m}_l . The region, \mathcal{A}_w , in which \mathbf{p} exists must be bounded by the locus of critical values. As we assume that there are no cusps, the region will have to be bounded by only two components corresponding to the merging of $(\mathbf{m}_i, \mathbf{m}_j$ and $\mathbf{m}_k, \mathbf{m}_l)$ or $(\mathbf{m}_i, \mathbf{m}_l$ and $\mathbf{m}_j, \mathbf{m}_k)$ as shown in Fig. 15. These two components of the critical values intersect at two points, \mathbf{a} and \mathbf{b} . The geometrical interpretation of the intersection of the components of critical values is that both $\mathbf{m}_i, \mathbf{m}_l$ and $\mathbf{m}_j, \mathbf{m}_k$ merge at tangent points simultaneously. This can happen in two cases, either all four intersection points merge together or $\mathbf{m}_i, \mathbf{m}_l$ and $\mathbf{m}_j, \mathbf{m}_k$ meet together at separate tangent points in c_3s_3 -plane, forming a node point in the workspace as shown in Fig. 16. Since four points merging at a single tangent point corresponds to a nongeneric case, we will consider only the

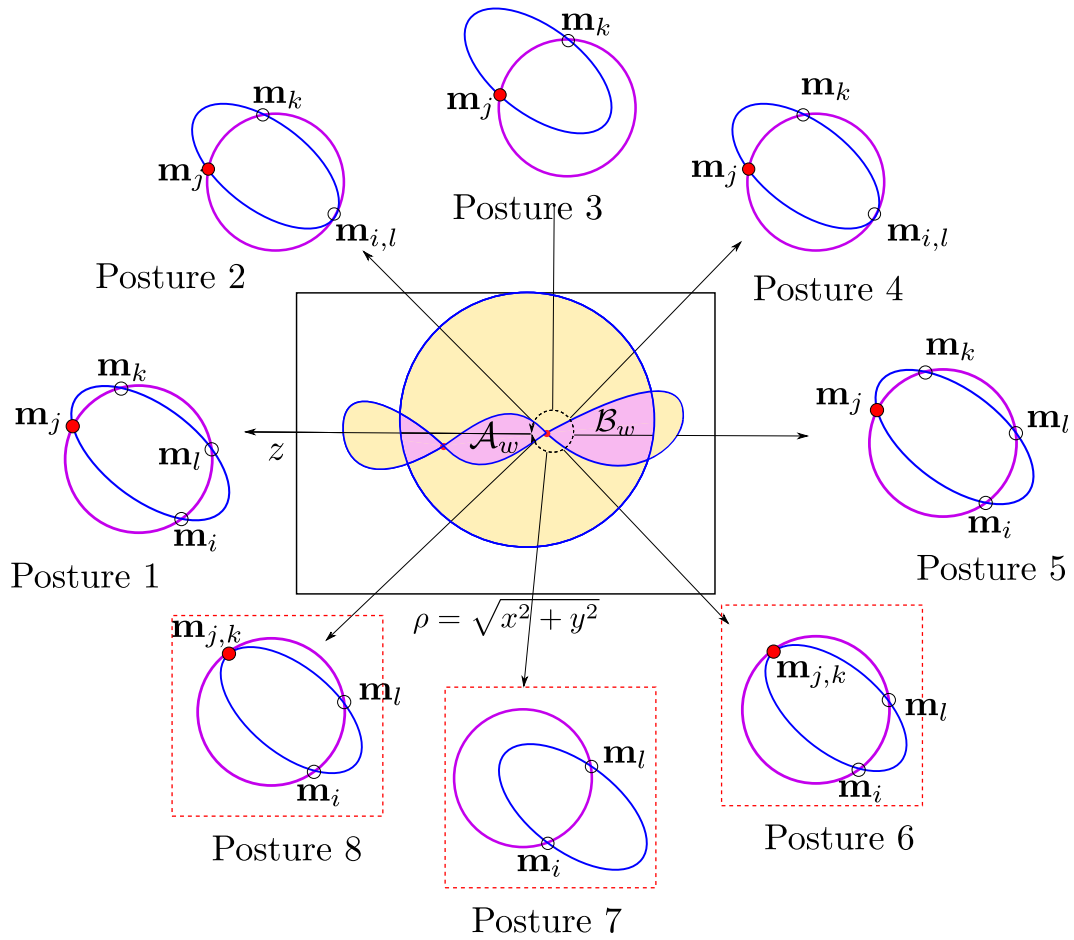


Figure 18: The closed loop path in the workspace, where the path crosses another 4-solution region and its corresponding interpretation in c_3s_3 -plane. Robot parameters are imagined to illustrate a particular case to show that such a path does not correspond to a nonsingular change of posture. The postures in red boxes correspond to the steps in the shown path where the definition of nonsingular posture change is violated

case of $\mathbf{m}_i, \mathbf{m}_l$ and $\mathbf{m}_j, \mathbf{m}_k$ meeting together at separate tangent points. As at the ends of our candy shape, only two segments meet without forming a node (see \mathbf{m} and \mathbf{n} in Fig. 19), it corresponds to a case of four solutions merging at a common point. This is a contradiction to the assumption of a generic 3R robot because points with multiplicity four correspond to a nongeneric 3R robot [24]. \square

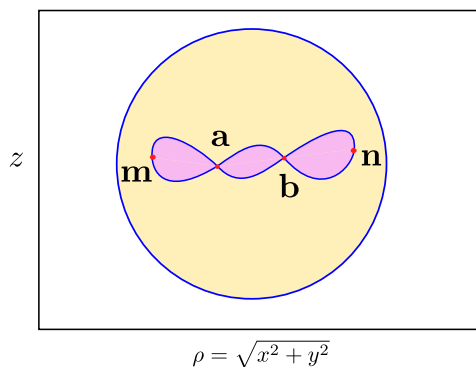


Figure 19: The “candy” case.

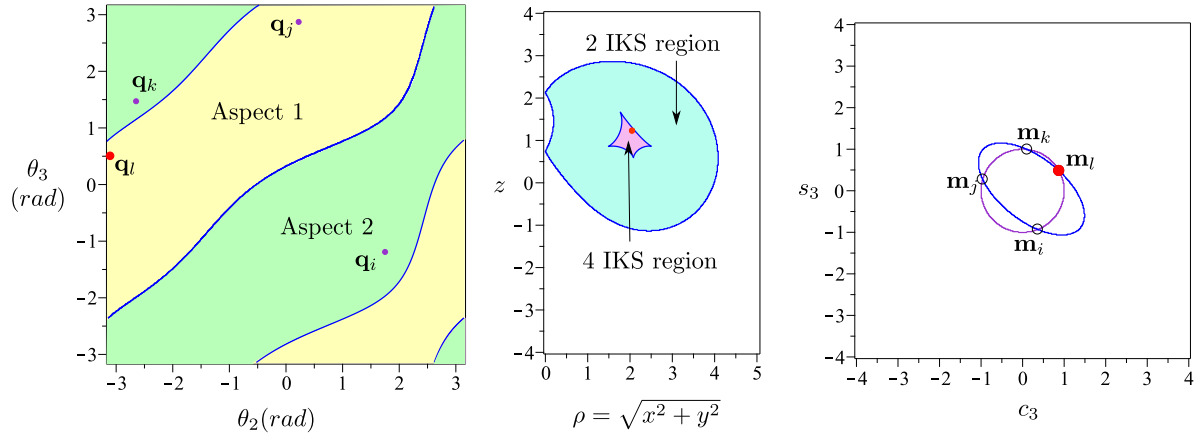
By using Theorem 1 and Proposition 2, a necessary and sufficient condition can be derived for a generic 3R cuspidal robot. Formally, the corollary is stated as:

Theorem 3. *The existence of a cusp point in the workspace is a necessary and sufficient condition for a generic robot to be cuspidal.*

Figure 20 illustrates an example of non-orthogonal cuspidal and non-cuspidal robots in joint space, workspace and c_3s_3 -plane.

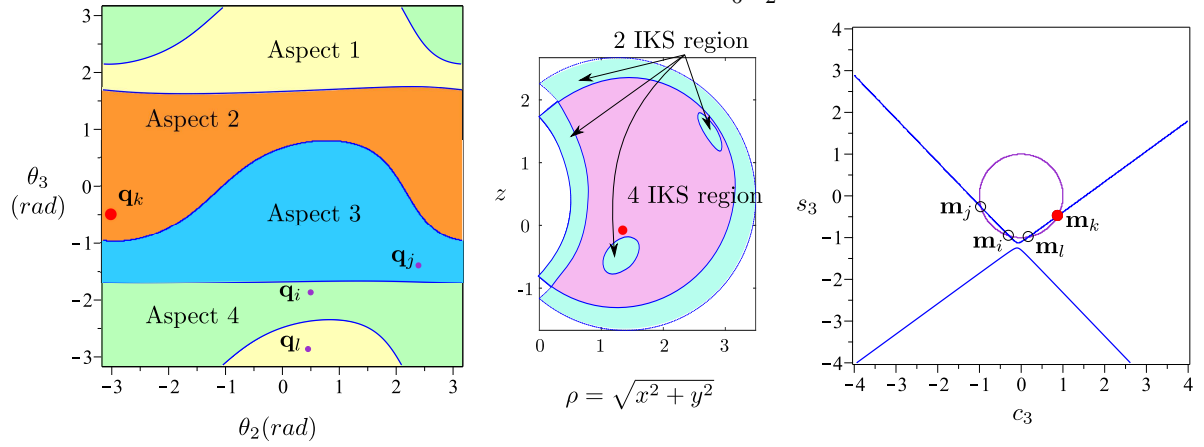
4. Conclusions

In the presented work, we have revisited the geometrical interpretation of the inverse kinematic model of 3R serial robots. The geometrical interpretation provides a better understanding of the critical values in the workspace, the nodes and the cusps. The paper has also presented important observations regarding the shape and the orientation of the conic represented in c_3s_3 -plane, corresponding to a specific set of DH parameters. By analyzing the nonsingular posture change in joint space, workspace and c_3s_3 -plane, the authors have extended the proof of existence of reduced aspects in the joint space of generic 3R robots. As a main contribution of the work, we have put forth the proof of the necessary condition of existence of a cusp in the workspace for a generic 3R serial robot to be cuspidal. In combination with an existing sufficient condition, we have presented formally the necessary and sufficient condition for a generic 3R robot to be cuspidal. The presented work is expected to be of great importance for the designer in extending the classification of generic 3R serial robots based on cuspidality. When designing a new robot, knowing whether a set of given D-H parameters defines a cuspidal robot or not is of high interest. The proposed



(a) An example of the non-orthogonal and cuspidal case.

Robot parameters: Robot parameters: $d = [0, 1, 0]$, $a = [1, 2, 1]$, $\alpha = [-\frac{\pi}{6}, \frac{\pi}{2}, 0]$.



(b) An example of the non-orthogonal and non-cuspidal case.

Robot parameters: Robot parameters: $d = [0, 1, 0]$, $a = [1, 0.2, 2]$, $\alpha = [-\frac{\pi}{3}, 1.745, 0]$.

Figure 20: The aspects in the joint space, regions in the workspace and corresponding conics in the c_3s_3 - plane for a cuspidal and non-cuspidal non-orthogonal robot.

necessary and sufficient condition based on the existence of a cusp point in the workspace can be used by the designer to verify if the robot is cuspidal or not. As the presented work is applicable to positional robots, the results obtained in the paper can be directly extended to 6R wrist-partitioned robots with wrist at the end and at the beginning. This is due to the fact that the singularities related to the orientation are decoupled from the positional singularities in these robots. This allows a designer to analyze the positional singularities in two dimensions only. As a part of future work, the geometrical interpretation of the inverse kinematic model of generic 6R serial robots will be studied to have similar conclusions on the cuspidal nature of generic 6R serial robots.

Appendix A. Singularity in the joint space: the locus of critical points

The singularity for a 3R serial robot in the joint space, the locus of critical points, can be derived from the relation:

$$\det(\mathbf{J}) = 0 \quad (\text{A.1})$$

where, \mathbf{J} , is the Jacobian matrix given in (4). Let, ${}^i\mathbf{T}_j$ be the transformation matrix of frame j with respect to frame i . \mathbf{e} be the position vector of the end-effector with respect to frame 0.

$$\mathbf{e} = {}^0\mathbf{T}_1 {}^1\mathbf{T}_2 {}^2\mathbf{T}_3 \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \quad (\text{A.2})$$

The Jacobian of \mathbf{e} is the Jacobian matrix for the 3R serial robot.

$$\mathbf{J} = \begin{bmatrix} \frac{\partial \mathbf{e}}{\partial \theta_1} & \frac{\partial \mathbf{e}}{\partial \theta_2} & \frac{\partial \mathbf{e}}{\partial \theta_3} \end{bmatrix} \quad (\text{A.3})$$

The expression for (A.1) is:

$$\begin{aligned} \det(\mathbf{J}) = & (((-c_3 (a_3 d_2 s_2 s_3 + a_1 d_3) c a_2 + a_2 c_2 c_3 d_2 + (-c_2 d_2 s_3^2 + c_2 d_2) a_3 - a_2 d_3 s_2 \\ & s_3) s a_2 + c_3 (a_1 a_3 s_3 - d_2 d_3 s_2) c a_2^2 + a_2 a_3 s_2 s_3^2 c a_2 + (-s_3 (a_2 c_2 + a_1) a_3 + \\ & d_2 d_3 s_2) c_3 - a_2 s_3 (a_2 c_2 + a_1)) s a_1 + a_1 ((c a_2 a_3 c_2 c_3 s_3 + s_2 (a_2 c_3 + \\ & (-s_3^2 + 1) a_3)) s a_2 + c_2 c_3 d_3 (c a_2 - 1) (c a_2 + 1)) c a_1) a_3 \end{aligned}$$

Here, c_i , s_i , $c a_i$, $s a_i$ correspond to $\cos \theta_i$, $\sin \theta_i$, $\cos \alpha_i$, $\sin \alpha_i$ respectively and α_i , d_i and a_i are the classical D-H parameters (see fig. 2).

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